



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: **Cordray et al.**

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Group Art Unit: **2152**

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Serial No.: **09/653,245**

§

Examiner: **Not Assigned**

**Technology Center 2100**

Filed: **August 31, 2000**

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§

Attorney Docket No.: **AUS9-2000-0403-US1**

For: **Notification of Automatically  
Forwarded Electronic Mail Messages  
in a Data Processing System**

§

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

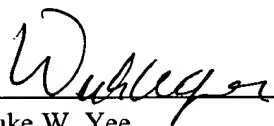
In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which

may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: December 12, 2000

  
Duke W. Yee  
*Registration No. 34,285*  
**CARSTENS, YEE & CAHOON, LLP**  
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Page 1 of 1

Form PTO-1449

LIST OF PRIOR ART CITED  
BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.  
AUS9-2000-0403-US1SERIAL NO.  
09/563,245APPLICANT **Cordray et al.**FILING DATE **August 31, 2000**GROUP ART UNIT **2152**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 5,742,769	Apr. 21, 1998	Lee et al.	395/200.36	May 6, 1996
	AB 5,493,564	Feb. 20, 1996	Mullan	370/54	Mar. 25, 1994
	AC 5,844,969	Dec. 1, 1998	Goldman et al.	379/93.24	Jan. 23, 1997
	AD 5,822,526	Oct. 13, 1998	Waskiewicz	395/200.36	Jun. 3, 1996
	AE 5,937,161	Aug. 10, 1999	Mulligan et al.	395/200.36	Apr. 12, 1996
	AF 6,012,087	Jan. 4, 2000	Freivald et al.	709/218	May 20, 1998
	AG 5,898,836	Apr. 27, 1999	Freivald et al.	395/200.48	Jan. 14, 1997
	AH 5,632,011	May 20, 1997	Landfield et al.	395/326	May 22, 1995

## FOREIGN PATENT DOCUMENTS

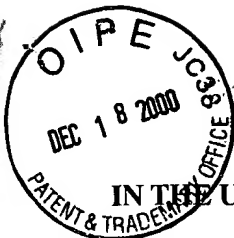
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	AI WO 99/04344	Jan. 28, 1999	PCT	G06F 13/00	<input type="checkbox"/> <input checked="" type="checkbox"/>

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)


DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



GAU 2152

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In re-application of: **Cordray et al.**Serial No.: **09/653,245**Filed: **August 31, 2000****For: Notification of Automatically  
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in a Data Processing System**§ Group Art Unit: **2152**

§

§ Examiner: **Not assigned**

§

§ Attorney Docket No.: **AUS9-2000-0403-US1**

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**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on December 12, 2000.

By:

Krista Douthitt

**TRANSMITTAL DOCUMENT**Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AI; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0392.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

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